

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(d))

Docket No.  
DE-1253

In Re Application Of: Xiao Xiao

FEB 25 2002

Serial No.  
09/845,416

Filing Date  
April 30, 2001

Examiner

Group Art Unit

Title:

DNA SEQUENCES ENCODING DYSTROPHIN MINIGENES AND METHODS OF USE THEREOF

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Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

## 37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

## 37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

3. Some of these references were cited in a foreign patent office action for an application corresponding to the present application. Other references are being provided by applicant hereto.

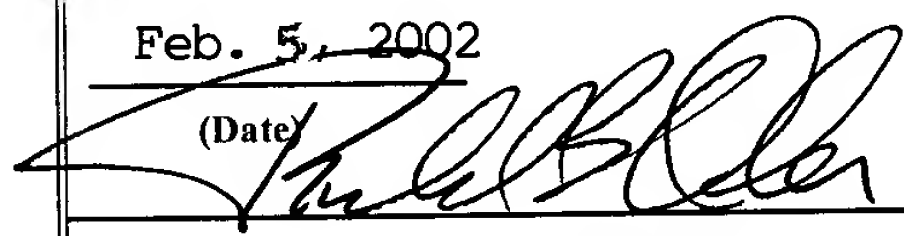
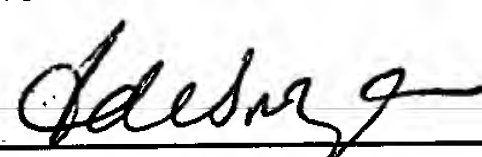
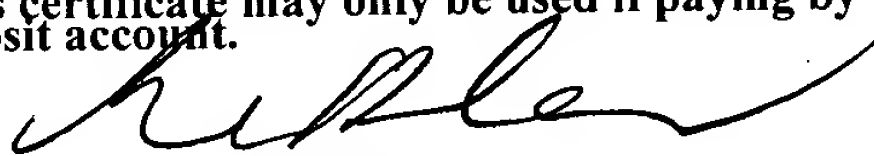
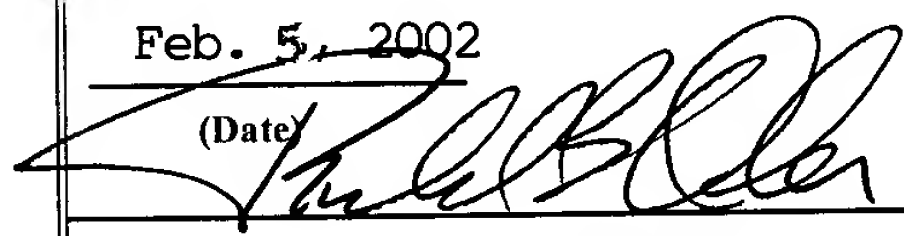
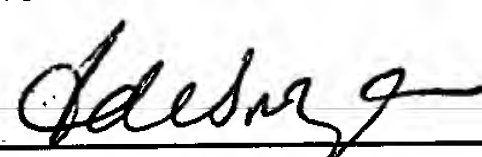
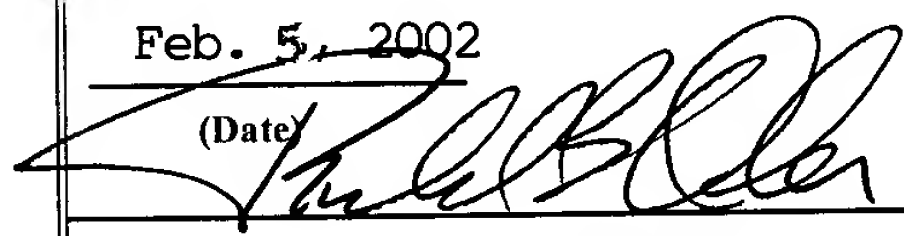
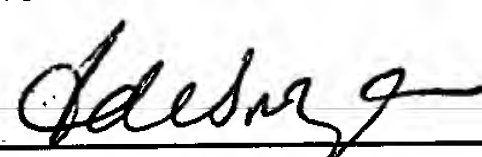
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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. DE-1253		
In Re Application: Xiao Xiao					
Serial No. 09/845,416	Filing Date April 30, 2001	Examiner	Group Art Unit		
DNA SEQUENCES ENCODING DYSTROPHIN MINIGENES AND METHODS OF USE THEREOF					
<p align="center"><b>Payment of Fee</b></p> <p align="center">(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 01-1944 as described below. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> Charge the amount of \$180.00</p> <p><input checked="" type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p> <table border="0"><tr><td><p align="center"><b>Certificate of Transmission by Facsimile*</b></p><div><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)</p><p>Feb. 5, 2002</p><p>(Date)</p><p></p><p align="center">Signature</p><p>Richard B. Klar, Reg. #31,385</p><p align="center">Typed or Printed Name of Person Signing Certificate</p></div></td><td><p align="center"><b>Certificate of Mailing by First Class Mail</b></p><div><p>I certify that this document and fee is being deposited on Feb. 5, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p></p><p align="center">Signature of Person Mailing Correspondence</p><p>AUDREY DE SOUZA</p><p align="center">Typed or Printed Name of Person Mailing Certificate</p></div></td></tr></table> <p>*This certificate may only be used if paying by deposit account.</p> <p></p> <p align="center">Signature</p> <p align="right">Dated: February 5, 2002</p> <p>CC:</p>				<p align="center"><b>Certificate of Transmission by Facsimile*</b></p> <div><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)</p><p>Feb. 5, 2002</p><p>(Date)</p><p></p><p align="center">Signature</p><p>Richard B. Klar, Reg. #31,385</p><p align="center">Typed or Printed Name of Person Signing Certificate</p></div>	<p align="center"><b>Certificate of Mailing by First Class Mail</b></p> <div><p>I certify that this document and fee is being deposited on Feb. 5, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p></p><p align="center">Signature of Person Mailing Correspondence</p><p>AUDREY DE SOUZA</p><p align="center">Typed or Printed Name of Person Mailing Certificate</p></div>
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